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APPLICATION NUMBER

Skjerven Morrill MacPherson LLP

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/044,423

01/10/2002

Tze-Bin Chou

**AB-1191 US** 

CONFIRMATION NO. 1091

FORMALITIES LETTER

\*OC000000008211693\*

Date Mailed: 05/31/2002

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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A copy of this notice MUST be returned with the reply.



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TRANSMITTAL

Paro. Sequence

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10/044,423

**Application Number** 

FORM			Filing Date	January 10, 20	02					
			First Named Inventor	Tze-Bin CHOU	нои					
			Group Art Unit	1653						
(to be used for all correspondence after initial filing)			Examiner Name	To Be Assigne	d					
Total Number Of Pages In This Submission		20 + 1 Diskette	Attorney Docket No.	529872000100						
ENCLOSURES (check all that apply)										
Fee Transmittal Fo	rm (w/copy) - 2		signment Papers an Application)		After Allowance Communication to Group					
Fee Attache	ed	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Repl	y - 4 pages	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Pet	ition		Proprietary Information					
Affidavits/de	eclarations		ition to Convert to a visional Application		Status Letter					
Extension of Time Request - 1 page			ver of Attorney, Revocation inge of Correspondence Add	Other Enclosure(s) (please identify below):  1. Notice to Comply with Requirements for						
Express Abandonment Request			minal Disclaimer	Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequences 2 pages     Statement to Support Filing and Submissic in Accordance with 37 C.F.R. §§ 1.821- 1.825 - 2 pages     Paper copy of Sequence Listing - 8 pages						
Information Disclosure Statement		CD,	Number of CD(s)	Computer readable copy of the Sequence     Listing - 1 disk     Return postcard						
Certified Copy of Priority Document(s)		Remarks		<u> </u>						
Response to Missin Incomplete Application										
Response to Missing Parts under 37 CFR 1.52 or 1.53										
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT										
irm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018										
Of	Thomas E Class Don No 04 040									
individual Name	Trady Load Name									
Signature	Thor	uas 9.	with.							
Date	September 5, 2002									

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time wilkuraly depending upon the needs of the individual/case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Docket Number. (Optional) TION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) 529872000100 In re Application of Tze-Bin CHOU Application Number 10/044,423 January 10, 2002 DROSOPHILA CLIPPED FRT (CFRT) CHROMOSOME INSENSITIVE TO P TRANSPOSASE. GENERATING METHOD THEREOF, AND APPLICATION THEREOF Group Art Unit Examiner 1653 To Be Assigned This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application. The requested extension and appropriate non-small-entity fee are as follows (check time period desired): 09/12/2002 GUDRDOF1 00000017 031952 One month (37 CFR 1.17(a)(1)) 400.00 CH 01 FC:116 Two months (37 CFR 1.17(a)(2)) \$ 400.00 Three months (37 CFR 1.17(a)(3)) Four months (37 CFR 1.17(a)(4)) Five months (37 CFR 1.17(a)(5)) Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ A check in the amount of the fee is enclosed. Payment by credit card. Form PTO-2038 is attached. L The Commissioner has already been authorized to charge fees in this application to a Deposit Account. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 03-1952. Have enclosed a duplicate copy of this sheet. Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. applicant/inventor I am the assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). attorney or agent of record. attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) \_\_\_ WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. September 5, 2002 Date Thomas E. Ciotti Typed or printed name NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Burden Hour Statement. This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

forms are submitted.

Total of

PTO/SB/17 (09-00)
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## **FEE TRANSMITTAL FOR FY 2002**

Complete if Known Application Number 10/044,423 January 10, 2002 Filing Date Tze-Bin CHOU First Named Inventor **Examiner Name** To Be Assigned Group Art Unit

Patent fees are subject to annual revision. (\$) 400.00 TOTAL AMOUNT OF PAYMENT Attorney Docket No. 529872000100

METHOD OF PAYMENT				FEE CALCULATION (continued)								
1.   The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:					3. ADDITIONAL FEES					_		
Deposit	03-1952				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description			Fee Paid
Deposit	Morrison	& Foors	terile									
Account Morrison & Foerster LLP Name					105	130	205	65	Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						50	227	25	Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status. See 37 CFR 1.27					139	130	139	130	Non-E	nglish specification	on	
2. Payment Enclosed:					147	2,520	147	2,520	For filing a request for ex parte reexamination			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other						920*	112	920*	Requesting publication of SIR prior to Examiner action			
FEE CALCULATION					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			er
1. BASIC FILIN	G FEE				115	110	215	55	Extension for reply within first month			th
					116	400	216	200	Extens	ion for reply with	n second	400,00
Large Entity Fee Fee	Fee	Entity Fee	Fee Description	Fee	117	920	217	460	Extens	ion for reply with	n third	
Code (\$)	Code	(\$)		Paid	118	1,440	218	720	Extens	sion for reply with	n fourth	
101 740	201	370	Utility filing fee		128	1,960	228	980		ion for reply with	n fifth mon	th
106 330	206	165	Design filing fee		119	320	219	160		of Appeal		
107 510	207	255	Plant filing fee		120	320	220	160		Filing a brief in support of an appeal		a) }
108 740	208	370	Reissue filing fee		121	280	221	140		st for oral hearing		
114 160	214	80	Provisional filing fe	ee	138	1,510	138	1,510	procee			
			<u></u>		140	110	240	55		n to revive - unav		
		SUS	STOTAL (1) [[\$	) 0	141	1,280	241	640	Petitio	n to revive - unint	entional	ليبيا
2. EXTRA CLAIM FEES					142	1,280	242	640	<b>Utility</b>	issue fee (or reiss	iue)	
		Extra Claims	Fee from below	Fee Paid	143	460	243	230	Design	issue fee		
Total Claims 34	- 34°° =	0			144	620	244	310	Plant issue fee			
Independent _	· 4** =	0	x 84	- \$0	122	130	122	130	Petitions of the Commissioner			1
Claims Multiple Dependent	t	0	280	= \$0	123	50	123	50	Petition	ns related to prov ations	isional	
				126	180	126	180	Submission of Information Disclosure Stmt				
Large Entity Fee Fee Code (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		581	40	581	40	Recording each patent assignment per properties (times number of properties)			·
103 18	203	9	Claims in excess o	of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
102 84	202	42	independent claims in excess of 3		149	740	249	370	examir	For each additional invention to be examined (37 CFR § 1.129(b))		
104 280	204	140	Multiple dependen	nt claims, if not paid	179	740	279	370	Reque (RCE)	st for Continued I	_xaminatio	n
109 84	209	42	**Reissue indepen original patent	169	900	169	900	Request for expedited examination of a design application				
110 18	210	••Reissue claims in excess of 20 and  over original patent								.,		
\	Other fee	Other fee (specify)										
SUBTOTAL (2) (\$)0					*Partured				SUBTOTAL	(3) F	(\$) 400.00	
or number previously paid, if greater; For reissues, see above.												(5) .50.55
SUBMITTED BY Complete (if applicable)												
Name (Print/Type) Thomas E. Ciotti				Registration No. (Attorney/Agent) 21,013 Telephone (650)			(650) 8	13-5702				
Signature Manage Clartes										Date	Septen	nber 5, 2002

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